



**LEGAL SERVICES
BOARD**

Legal Services Board
One Kemble Street
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www.legalservicesboard.org.uk

Fol request (Ref: 20180605-01)

Thank you for your letter to the Legal Services Board ('LSB'), dated 29 May and received by us on 5 June 2018. We are treating your request for data under the Freedom of Information Act.

Addressing each of your questions in turn:

Judicial practice

1. How many cases related to civil liability of professional representatives have been pending in England and Wales in the recent years (2010-2017)?

The LSB does not hold this information.

2. Were damages awarded to the plaintiffs? If so, what were the amounts?

The LSB does not hold this information.

3. What was the percentage of the judgments in favour of the representatives in relation to the judgments to their disadvantage?

The LSB does not hold this information.

You might like to contact HM Courts and Tribunal Service regarding these requests. A contact form can be found on their website here:

<https://www.gov.uk/government/organisations/hm-courts-and-tribunals-service>.

Third party liability insurance

4. Are third party liability insurance contracts compulsory in relation to professional representatives? If so, are they concluded via self-government bodies? How many such contracts were concluded in the recent years (2010-2017)?

The LSB does not hold this information.

5. How often do insurers pay damages for the representative's error? What are the amounts?

The LSB does not hold this information.

6. How often do the insurers apply to representatives with recourse claims? What percentage of damages is covered by the representatives and what - by the insurer?

The LSB does not hold this information.

You might like to contact the Solicitors Regulation Authority (SRA), and the Bar Standards Board (BSB) regarding these requests. Contact details can be found on the following pages of their websites:

SRA website: <http://www.sra.org.uk/contact-us/>

BSB website: <https://www.barstandardsboard.org.uk/header-items/contact-us/>

Disciplinary proceedings

7. How many disciplinary proceedings regarding representatives' errors were initiated annually in the recent years (2010-20 17)? How many of these cases finalised in a common court?

The LSB does not hold this information.

8. What is the most common reason for initiating disciplinary proceedings?

The LSB does not hold this information.

9. Is the reason concurring with the reasons for initiating civil litigation against representatives? If not, what is the most common reason for bringing civil cases against representative?

The LSB does not hold this information.

10. What penalties are imposed in disciplinary proceedings? Which of them are used most often? In the case of fines - what are the amounts?

The LSB does not hold this information.

You might like to contact the Solicitors Disciplinary Trust regarding these requests. Contact details can be found on the following page of their website:

<http://www.solicitortribunal.org.uk/contact-us>.

As an overarching regulator, the LSB has oversight of the approved regulators for legal services, we do not regulate individuals or firms directly. The Legal Services Act 2007 provides for the LSB to approve approved regulators' indemnification and compensation regulatory arrangements, however the approved regulators themselves are responsible for making, implementing and enforcing any such arrangements.

Although the LSB does not hold the information requested, you might find the following report helpful, in particular Annex A which gives an overview of the approved regulators' professional indemnity insurance arrangements:

[http://www.legalservicesboard.org.uk/news_publications/LSB_News/PDF/2016/2016_0715_Thematic_review_of_restrictions_on_choice_of_insurer_-_report_FINAL_\(cor____.pdf](http://www.legalservicesboard.org.uk/news_publications/LSB_News/PDF/2016/2016_0715_Thematic_review_of_restrictions_on_choice_of_insurer_-_report_FINAL_(cor____.pdf)

The reference for your request, which should be quoted in all correspondence, is: 20180605-01.