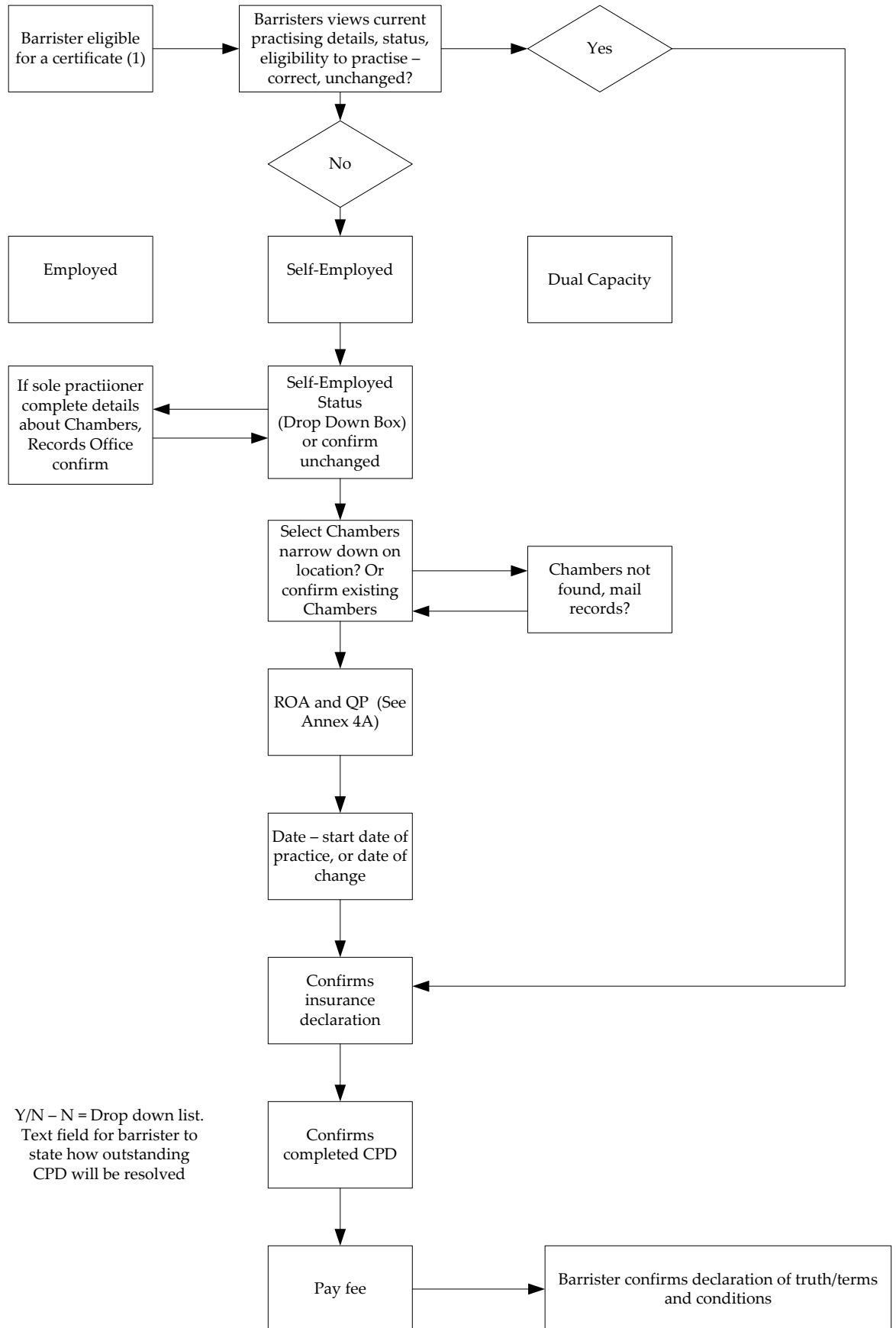


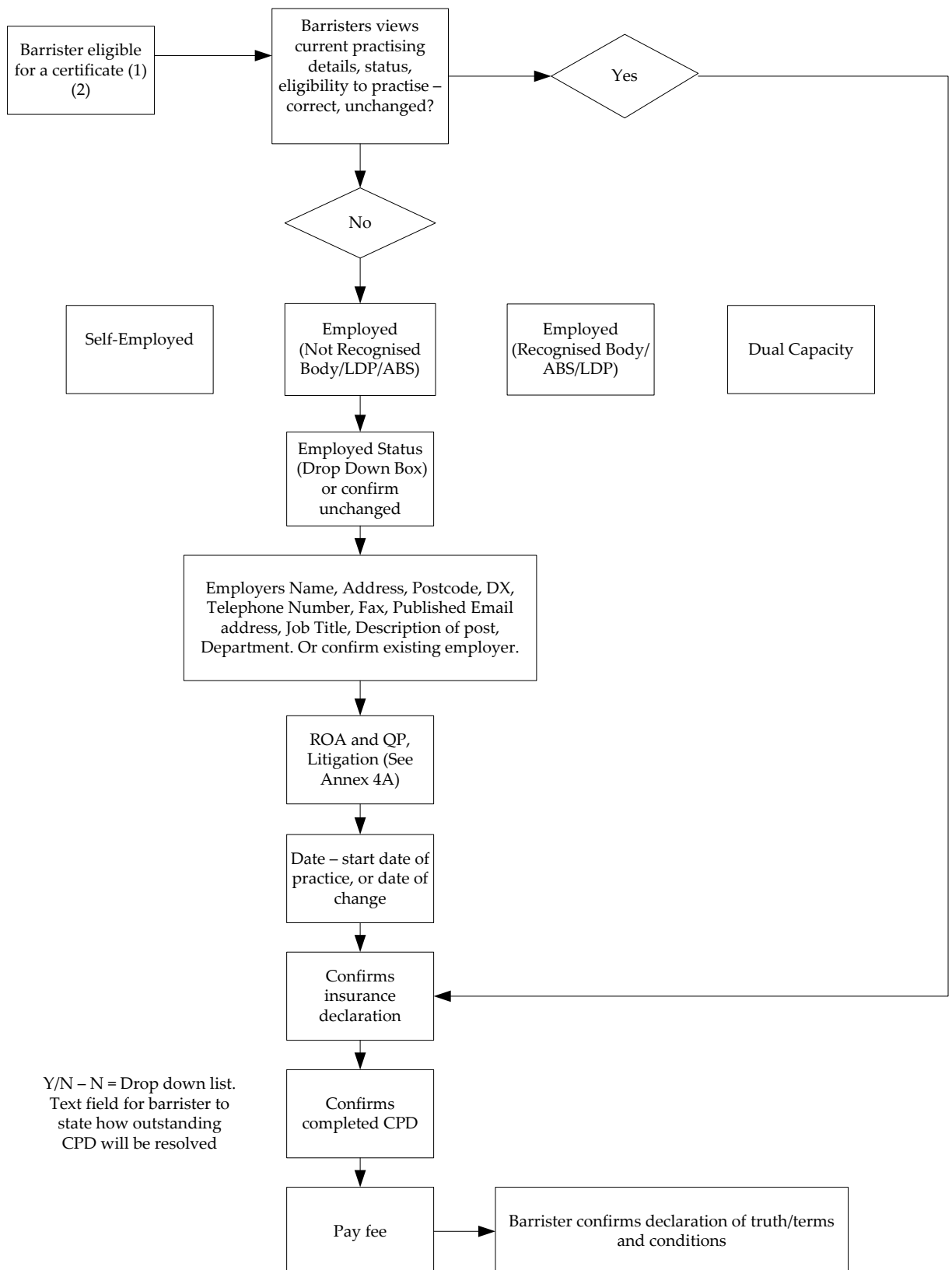
Obtaining a Practising Certificate (SELF-EMPLOYED)

Annex 4



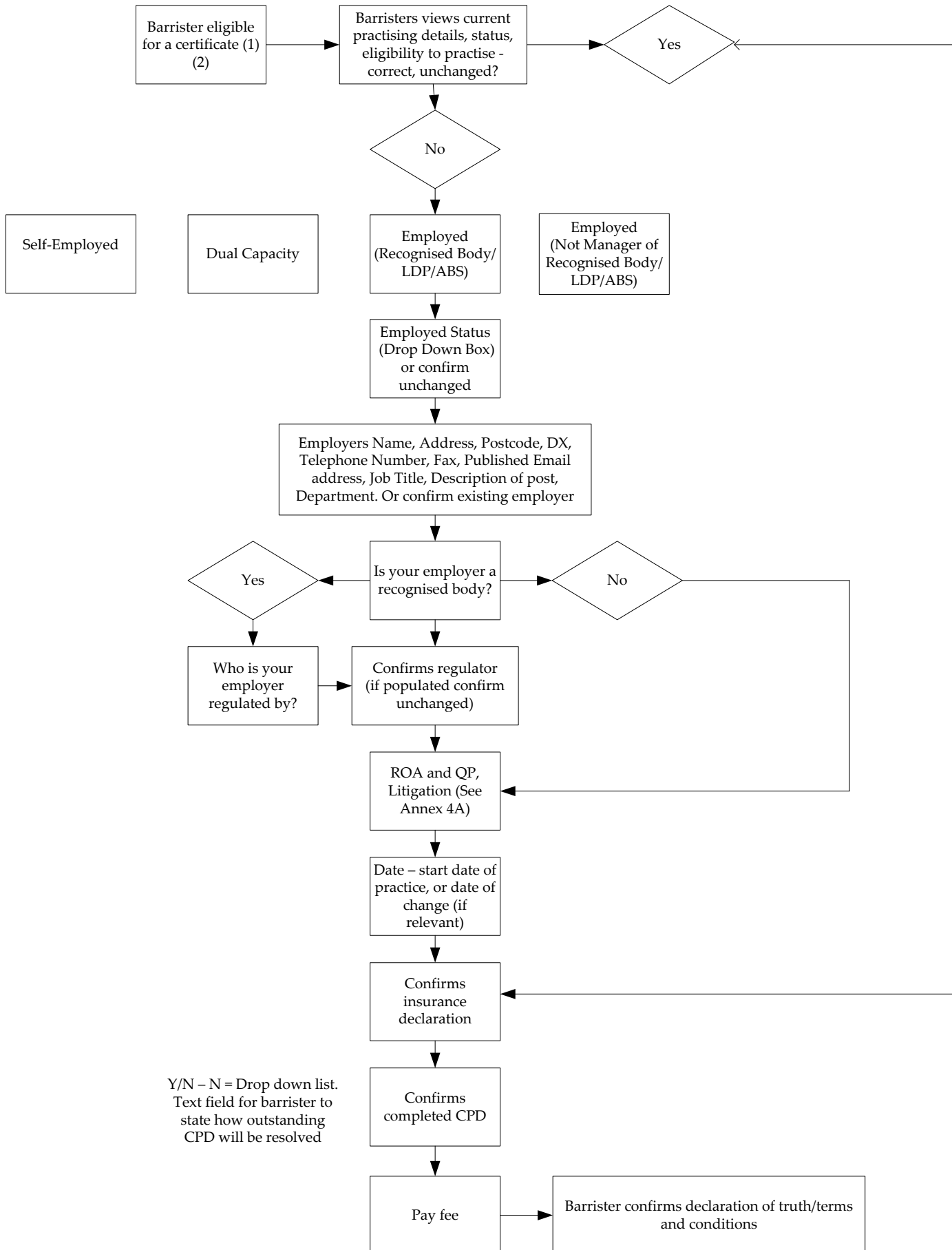
(1) Completed pupillage, system recorded FQC date. From 1 April 2014 any barrister not held a practising certificate in the last 5 years (or since completion of pupillage) will be required to complete training as required by the BSB.

Obtaining a Practising Certificate (EMPLOYED)



(1) Completed pupillage, system recorded FQC date. From 1 April 2014 any barrister not held a practising certificate in the last 5 years (or since completion of pupillage) will be required to complete training as required by the BSB. Answered preliminary questions to determine whether requires practising certificate (2) Not completed pupillage and called before 1 January 2002. From 1 April 2014 any barrister not held a practising certificate in the last 5 years (or since completion of pupillage) will be required to complete training as required by the BSB. Practising certificate would have lower or no rights

**Obtaining a Practising Certificate
(EMPLOYED RECOGNISED BODY/ABS/LDP)**

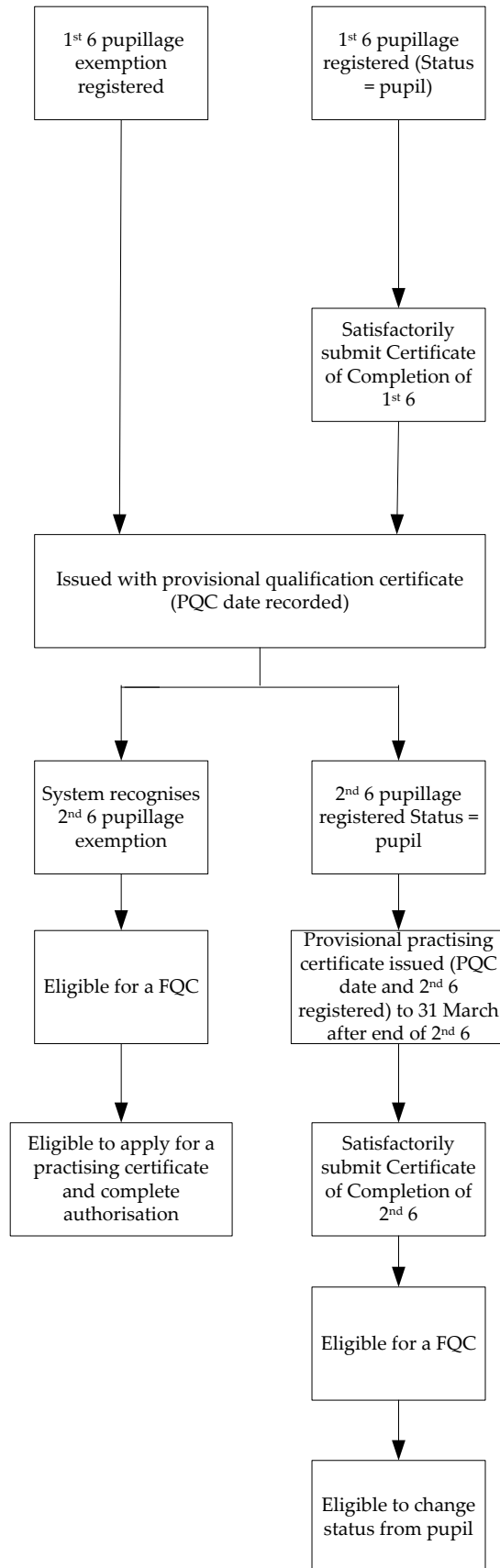


(1) Completed pupillage, system recorded FQC date. From 1 April 2014 any barrister not held a practising certificate in the last 5 years (or since completion of pupillage) will be required to complete training as required by the BSB. Answered preliminary questions to determine whether requires practising certificate. (2) Not completed pupillage and called before 1 January 2002. From 1 April 2014 any barrister not held a practising certificate in the last 5 years (or since completion of pupillage) will be required to complete training as required by the BSB. Practising certificate would have lower or no rights

Issuing a Provisional Practising Certificate to a pupil in chambers or employment

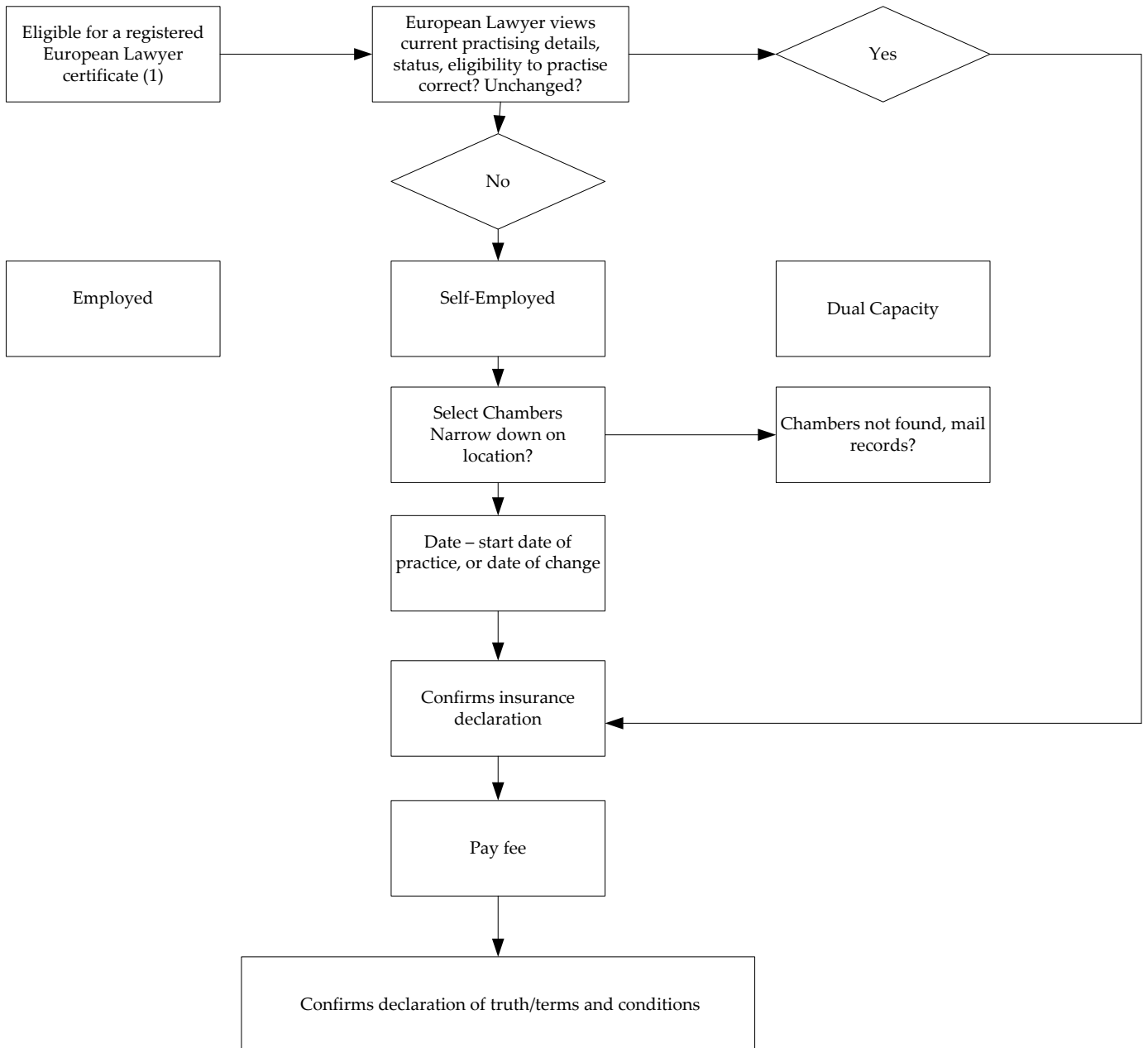
Documents to be updated, to inform pupils, that being issued with a provisional qualification certificate and registering second six, will trigger a provisional practising certificate. Insurance is covered by pupil supervisor, CPD and pupil supervisor closely monitored (dependent upon decision from FAC, that as per the current arrangements pupils will not have to pay for this practising certificate)

Problems with timeframe between completion/exemption of 1st 6 and commencing 2nd 6 – would be dealt with at the registration stage.



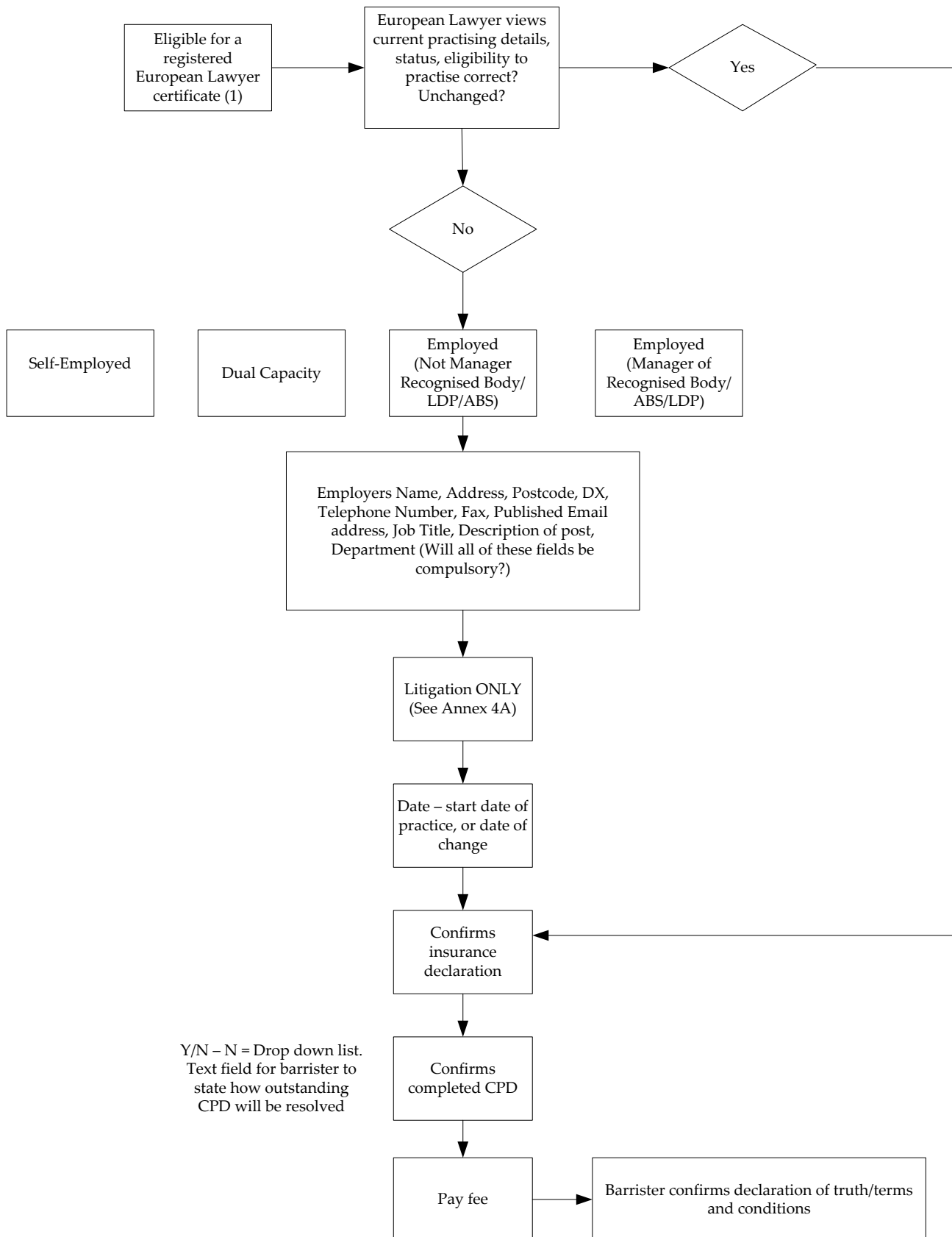
A PQC would still be issued under the Bar Training Regulations

Obtaining a European Lawyer's Practising Certificate (SELF-EMPLOYED)



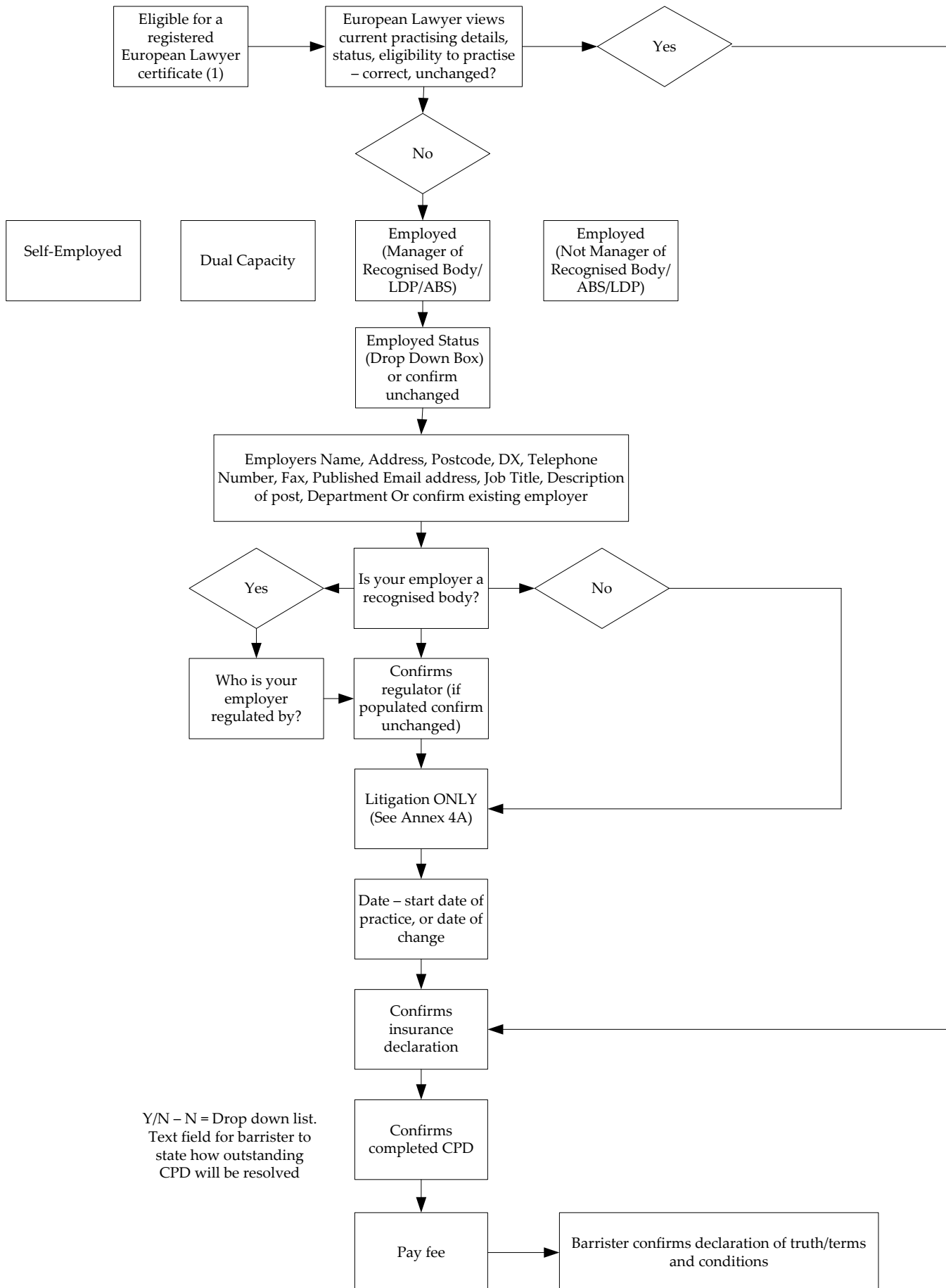
(1) Any Registered European Lawyer applies through the Qualifications Committee, after the application has been considered the record would be updated to indicate eligibility.

Obtaining a European Lawyer's Practising Certificate (EMPLOYED)



(1) Any Registered European Lawyer applies through the Qualifications Committee, after the application has been considered the record would be updated to indicate eligibility.

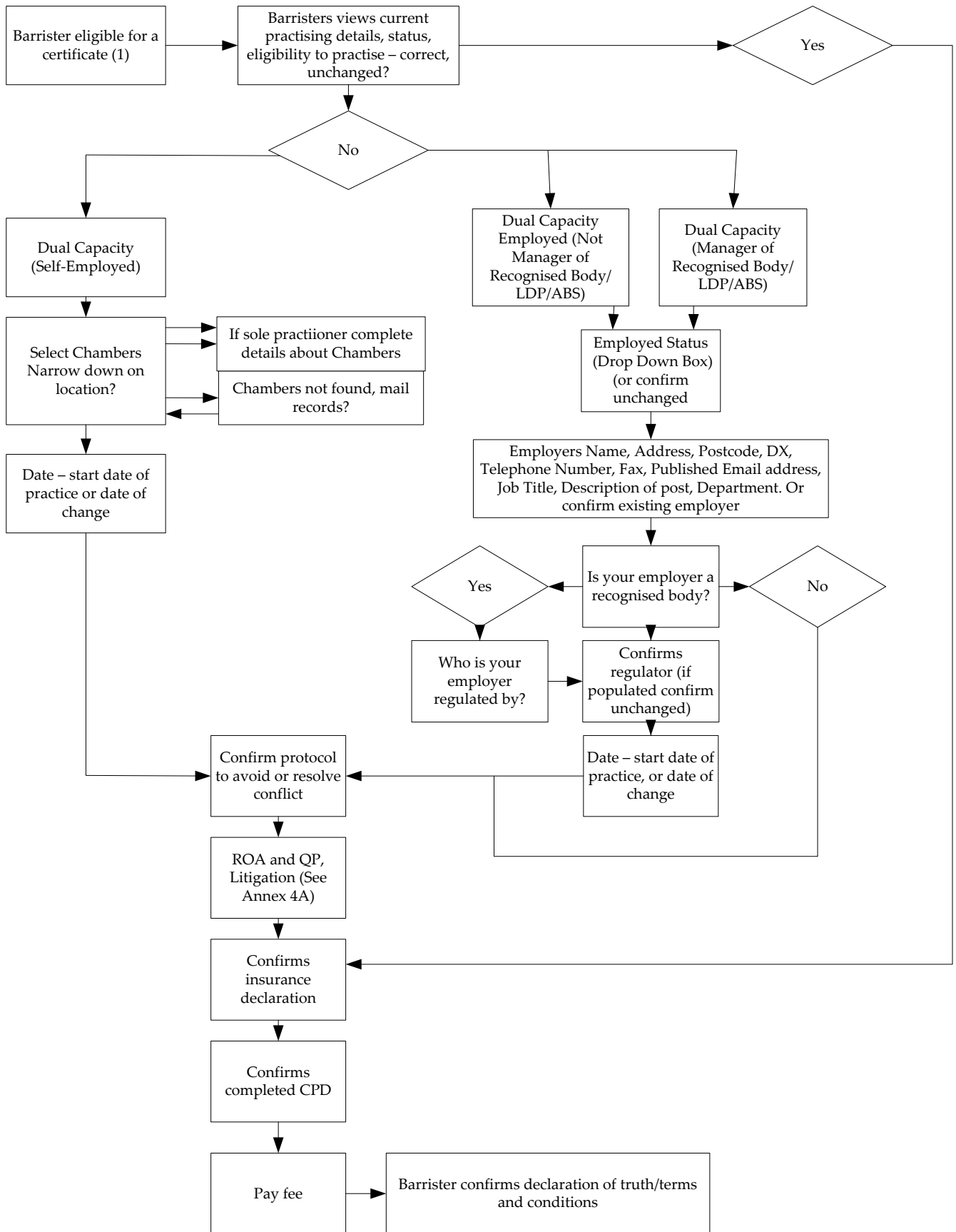
**Obtaining a European Lawyer's Practising Certificate
(EMPLOYED - RECOGNISED BODY/LDP/ABS)**



Y/N - N = Drop down list.
Text field for barrister to state how outstanding CPD will be resolved

(1) Any Registered European Lawyer applies through the Qualifications Committee, after the application has been considered the record would be updated to indicate eligibility.

Obtaining a Full Practising Certificate (DUAL CAPACITY)



1) Completed pupillage, system recorded FQC date. From 1 April 2014 any barrister not held a practising certificate in the last 5 years (or since completion of pupillage) will be required to complete training as required by the BSB.

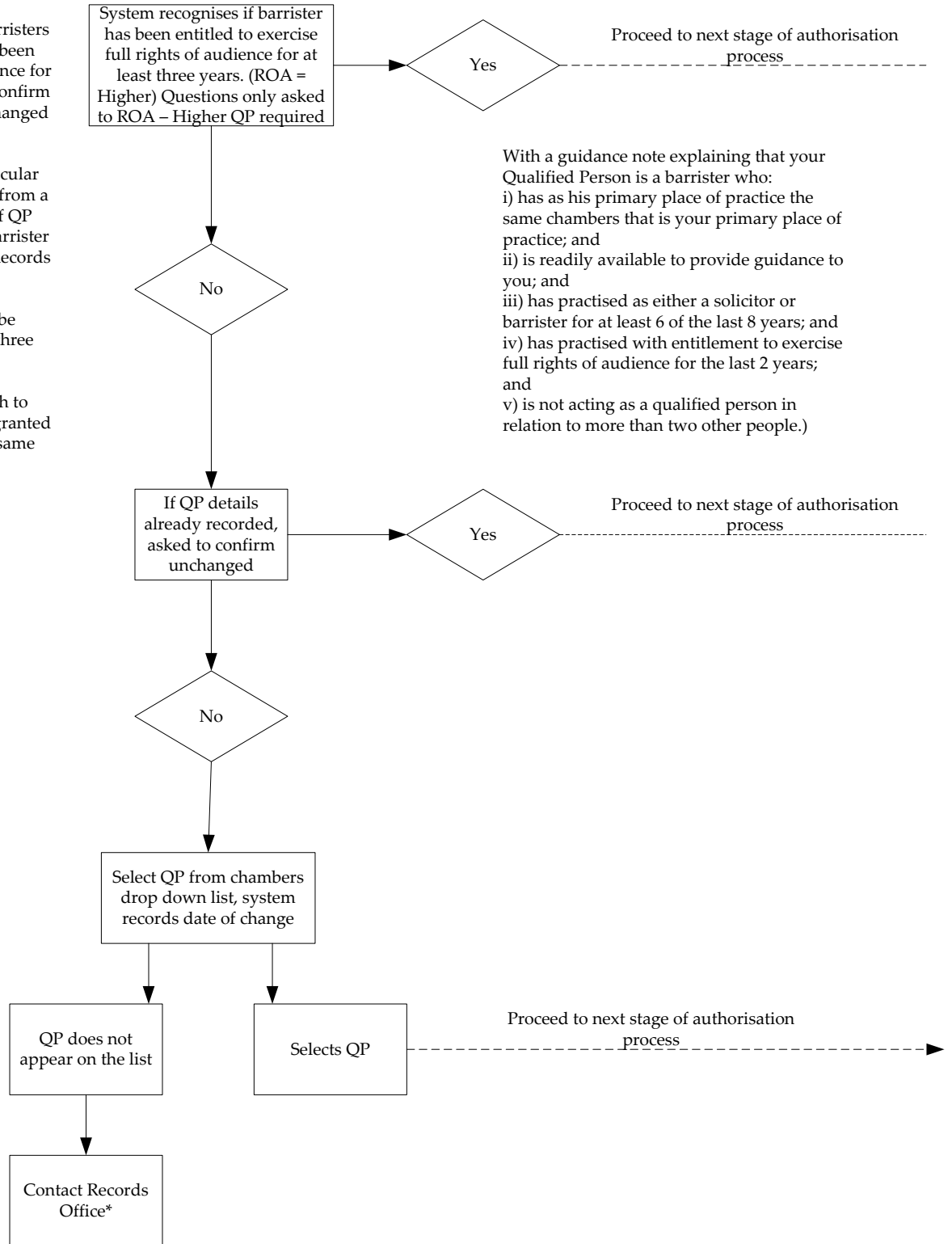
SYSTEM

If there is data already stored on a barristers qualified person and they have not been entitled to exercise full rights of audience for at least three years, the barrister can confirm if their qualified person remains unchanged or amend their record.

If the system flags up QP's in a particular Chambers, barrister would select QP from a drop down list. Would be an alert if QP moved and barrister contacted. If a barrister cannot find their QP details, contact Records for advice.

This will enable authorisation to be automatic for barristers in the first three years of practice.

*System needs to be flexible enough to incorporate situations where waiver granted and QP does not have to be within same organisation.

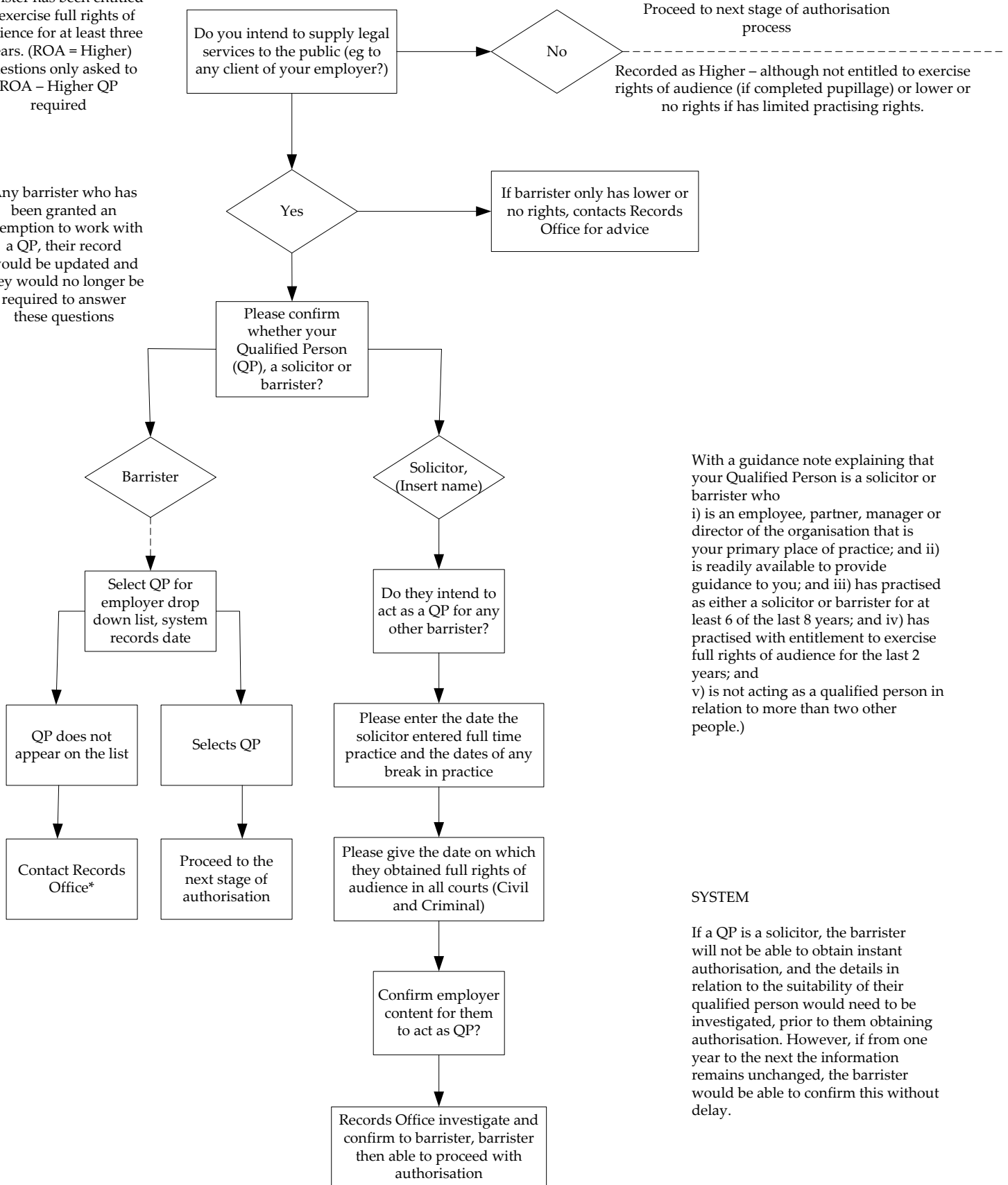


With a guidance note explaining that your Qualified Person is a barrister who:
 i) has as his primary place of practice the same chambers that is your primary place of practice; and
 ii) is readily available to provide guidance to you; and
 iii) has practised as either a solicitor or barrister for at least 6 of the last 8 years; and
 iv) has practised with entitlement to exercise full rights of audience for the last 2 years; and
 v) is not acting as a qualified person in relation to more than two other people.)

Rights of Audience – Employed Barristers (where the system holds no QP details)

System recognises if barrister has been entitled to exercise full rights of audience for at least three years. (ROA = Higher)
Questions only asked to ROA – Higher QP required

Any barrister who has been granted an exemption to work with a QP, their record would be updated and they would no longer be required to answer these questions

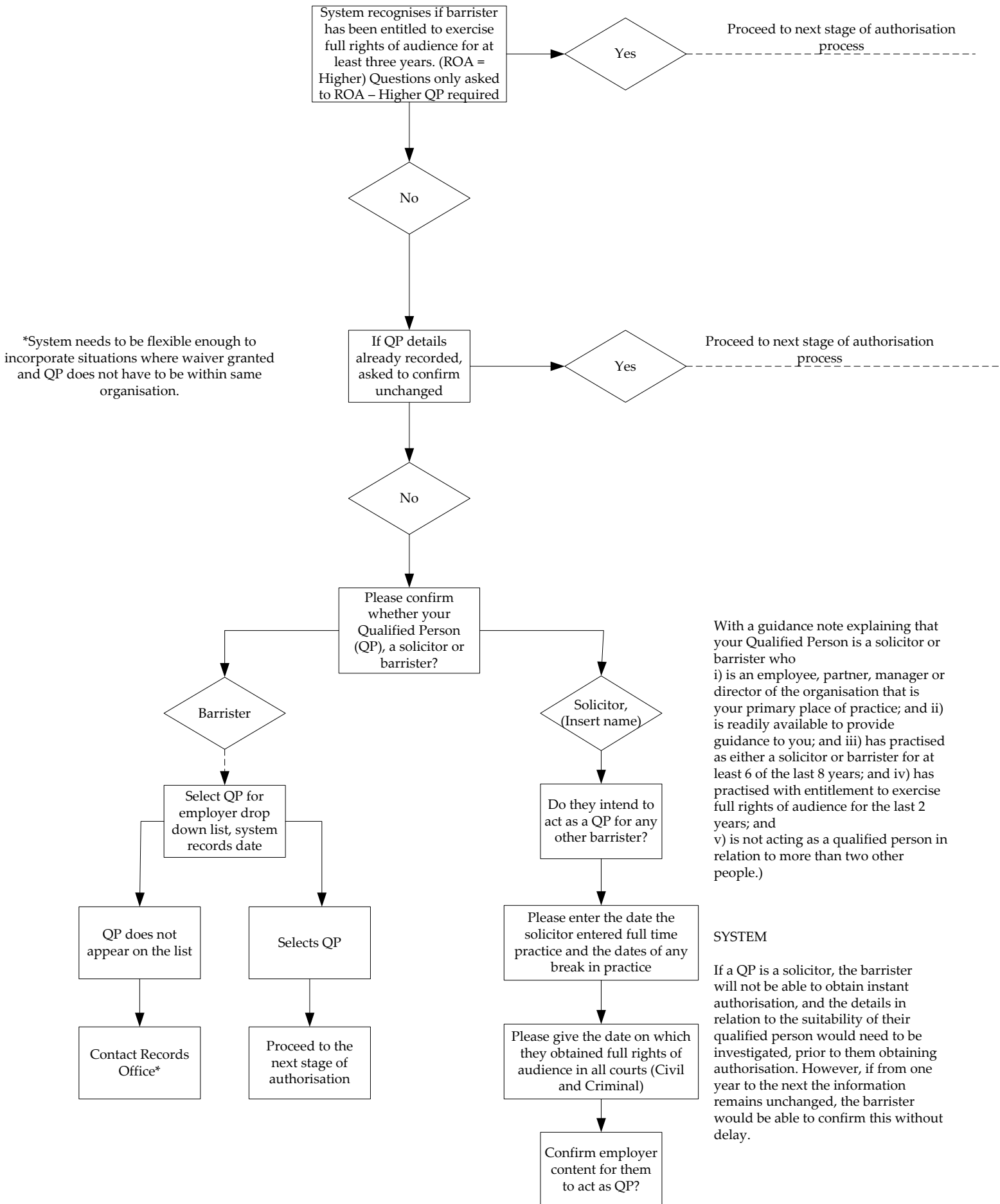


With a guidance note explaining that your Qualified Person is a solicitor or barrister who
 i) is an employee, partner, manager or director of the organisation that is your primary place of practice; and ii) is readily available to provide guidance to you; and iii) has practised as either a solicitor or barrister for at least 6 of the last 8 years; and iv) has practised with entitlement to exercise full rights of audience for the last 2 years; and v) is not acting as a qualified person in relation to more than two other people.)

SYSTEM

If a QP is a solicitor, the barrister will not be able to obtain instant authorisation, and the details in relation to the suitability of their qualified person would need to be investigated, prior to them obtaining authorisation. However, if from one year to the next the information remains unchanged, the barrister would be able to confirm this without delay.

Rights of Audience – Employed Barristers (where the system holds QP details)



*System needs to be flexible enough to incorporate situations where waiver granted and QP does not have to be within same organisation.

With a guidance note explaining that your Qualified Person is a solicitor or barrister who
 i) is an employee, partner, manager or director of the organisation that is your primary place of practice; and ii) is readily available to provide guidance to you; and iii) has practised as either a solicitor or barrister for at least 6 of the last 8 years; and iv) has practised with entitlement to exercise full rights of audience for the last 2 years; and v) is not acting as a qualified person in relation to more than two other people.)

SYSTEM
 If a QP is a solicitor, the barrister will not be able to obtain instant authorisation, and the details in relation to the suitability of their qualified person would need to be investigated, prior to them obtaining authorisation. However, if from one year to the next the information remains unchanged, the barrister would be able to confirm this without delay.

Right to Conduct Litigation

Guidance: Requires 12 weeks supervised practice or exemption from the requirement

The system will know all barristers who have been granted the right to conduct litigation and the date this was granted

PART 1

PART 2

Records Office to develop process, forms and other relevant documentation, for barrister to provide this information.

System would know this?

System would know this?

System would know this?

With a guidance note explaining that your Qualified Person (litigation) is a solicitor or barrister who

- i) has the same principal place of practice as you; and
- ii) is able to provide guidance to you; and iii) has practised as either a solicitor or a barrister for at least 6 of the last 8 years; and
- iv) has practised with entitlement to exercise rights to conduct litigation for the last 2 years; and
- v) is not acting as a Qualified Person in relation to more than two other people.)

