

Certificate of compliance with Internal governance rules 2019

On behalf of the Council of the Chartered Institute of Patent Attorneys (CIPA) I, Richard Mair, President of CIPA, certify that the obligations are understood and that CIPA is in compliance with the Internal governance rules 2019 made under Section 30 of the Legal Services Act 2007.

Each rule is initialled to certify compliance.

Rule 1	The overarching duty	[RM]
Rule 2	Duty to delegate	[RM]
Rule 3	Provision of assurance to approved regulator	[RM]
Rule 4	Regulatory Autonomy	[RM]
Rule 5	Prohibition on dual roles	[RM]
Rule 6	Individual conduct	[RM]
Rule 7	Governance: lay composition	[RM]
Rule 8	The regulatory board: appointments and terminations	[RM]
Rule 9	Regulatory resources	[RM]
Rule 10	Regulatory body budget	[RM]
Rule 11	Shared services	[RM]
Rule 12	Communication by persons involved in regulation	[RM]
Rule 13	Candour about compliance	[RM]
Rule 14	Disputes and referrals for clarification	[RM]
Rule 15	Guidance	[RM]
Rule 16	Saving provisions	[RM]
Rule 17	Exemptions	[RM]

This certificate is accompanied by an explanation in the covering letter of the actions taken by CIPA to ensure compliance.

CIPA Council approved this certificate at a Council meeting held on 1 July 2020. The final approved minute will be forwarded following approval of the July minutes at the Council meeting scheduled for 5 August 2020.

Signed:



On behalf of the Council of the Chartered Institute of Patent Attorneys