



**Certificate of compliance with Internal Governance Rules
2019**

On behalf of the Costs Lawyer Standards Board (CLSB), I Steve Winfield certify that the obligations are understood and that the CLSB is in compliance with the Internal Governance Rules 2019 made under Section 30 of the Legal Services Act 2007.

Each rule is initialled to certify compliance.

- | | | |
|---------|---|-------------------------------------|
| Rule 1 | The overarching duty | <input checked="" type="checkbox"/> |
| Rule 2 | Duty to delegate | <input checked="" type="checkbox"/> |
| Rule 3 | Provision of assurance to approved regulator | <input checked="" type="checkbox"/> |
| Rule 4 | Regulatory Autonomy | <input checked="" type="checkbox"/> |
| Rule 5 | Prohibition on dual roles | <input checked="" type="checkbox"/> |
| Rule 6 | Individual conduct | <input checked="" type="checkbox"/> |
| Rule 7 | Governance: lay composition | <input checked="" type="checkbox"/> |
| Rule 8 | The regulatory board: appointments and terminations | <input checked="" type="checkbox"/> |
| Rule 9 | Regulatory resources | <input checked="" type="checkbox"/> |
| Rule 10 | Regulatory body budget | <input checked="" type="checkbox"/> |
| Rule 11 | Shared services | <input checked="" type="checkbox"/> |
| Rule 12 | Communication by persons involved in regulation | <input checked="" type="checkbox"/> |
| Rule 13 | Candour about compliance | <input checked="" type="checkbox"/> |
| Rule 14 | Disputes and referrals for clarification | <input checked="" type="checkbox"/> |
| Rule 15 | Guidance | <input checked="" type="checkbox"/> |
| Rule 16 | Saving provisions | <input checked="" type="checkbox"/> |
| Rule 17 | Exemptions | <input checked="" type="checkbox"/> |

This certificate is accompanied by an explanation of the actions taken by the CLSB to ensure compliance. (Annex A)

This certificate is accompanied by a copy of the Board minute recording the decision taken to certify compliance. (Annex B)

Signed:

A handwritten signature in black ink, appearing to be 'Steve Winfield', is written over a horizontal line.

On behalf of the board of Costs Lawyer Standards Board Ltd