

Certificate of compliance with Internal governance rules 2019

On behalf of the Master of the Faculties I certify that the obligations are understood and that the Master of Faculties is in compliance with the Internal governance rules 2019 made under Section 30 of the Legal Services Act 2007.

Each rule is initialled to certify compliance.

Rule 1 The overarching duty

Rule 2 Duty to delegate - Exempt

Rule 3 Provision of assurance to approved regulator - Exempt

Rule 4 Regulatory Autonomy - Exempt

Rule 5 Prohibition on dual roles - Exempt

Rule 6 Individual conduct

Rule 7 Governance: lay composition

Rule 8 The regulatory board: appointments and terminations - Exempt

Rule 9 Regulatory resources

Rule 10 Regulatory body budget - Exempt

Rule 11 Shared services - Exempt

Rule 12 Communication by persons involved in regulation

Rule 13 Candour about compliance

Rule 14 Disputes and referrals for clarification

Rule 15 Guidance

Rule 16 Saving provisions – not applicable

Rule 17 Exemptions – not applicable

This certificate is accompanied by an explanation of the actions taken by the Master of Faculties to ensure compliance. (Annex A)

This certificate is accompanied by a copy of the Board minute recording the decision taken to certify compliance. (Annex B)

Signed:
Registrar

on behalf of the Master of Faculties



Dated: 23.03.20