



Certificate of compliance with Internal Governance Rules 2019

On behalf of ICAEWBoard, I, David Matthews, certify that the obligations are understood and that ICAEW is in compliance with the Internal Governance Rules 2019 made under Section 30 of the Legal Services Act 2007.

Each rule is initialled to certify compliance.

Rule 1	The overarching duty	[DM]
Rule 2	Duty to delegate	[DM]
Rule 3	Provision of assurance to approved regulator	[DM]
Rule 4	Regulatory Autonomy	[DM]
Rule 5	Prohibition on dual roles	[DM]
Rule 6	Individual conduct	[DM]
Rule 7	Governance: lay composition	[DM]
Rule 8	The regulatory board: appointments and terminations	[DM]
Rule 9	Regulatory resources	[DM]
Rule 10	Regulatory body budget	[DM]
Rule 11	Shared services	[DM]
Rule 12	Communication by persons involved in regulation	[DM]
Rule 13	Candour about compliance	[DM]
Rule 14	Disputes and referrals for clarification	[DM]
Rule 15	Guidance	[DM]
Rule 16	Saving provisions	[n/a]
Rule 17	Exemptions	[n/a]

This certificate is accompanied by an explanation of the actions taken by ICAEW to ensure compliance. (Annex A)

This certificate is accompanied by a copy of the ICAEW Board minute recording the decision taken to certify compliance. (Annex B)

Signed:

On behalf of ICAEW