

**Certificate of compliance with Internal governance rules 2019**


**On behalf of the Intellectual Property Regulation Board (IPReg) I, Rt Hon Lord Smith of Finsbury, certify that the obligations are understood and that IPReg is in compliance with the Internal governance rules 2019 made under Section 30 of the Legal Services Act 2007.**

Each rule is initialled to certify compliance.

Rule 1	The overarching duty	[CS]
Rule 2	Duty to delegate	[CS]
Rule 3	Provision of assurance to approved regulator	[CS]
Rule 4	Regulatory Autonomy	[CS]
Rule 5	Prohibition on dual roles	[CS]
Rule 6	Individual conduct	[CS]
Rule 7	Governance: lay composition	[CS]
Rule 8	The regulatory board: appointments and terminations	[CS]
Rule 9	Regulatory resources	[CS]
Rule 10	Regulatory body budget	[CS]
Rule 11	Shared services	[CS]
Rule 12	Communication by persons involved in regulation	[CS]
Rule 13	Candour about compliance	[CS]
Rule 14	Disputes and referrals for clarification	[CS]
Rule 15	Guidance	[CS]
Rule 16	Saving provisions	[CS]
Rule 17	Exemptions	not applicable

This certificate is accompanied by an explanation of the actions taken by IPReg to ensure compliance (Annex A).

This certificate is accompanied by a copy of the draft Board minute recording the decision taken to certify compliance (Annex B).



**Signed:**

**17 July 2020**

**On behalf of the Board of IPReg**