

Sent by email

Maggie McGhee
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ACCA



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Dear Maggie

Internal Governance Rules 2019 – Rule 16 authorisation

Thank you for submitting ACCA's request to the Legal Services Board (LSB) of 31 March 2020 made under Rule 16 (Saving Provisions) of the Internal Governance Rules (IGR) that came into effect on 24 July 2019.

You have requested prior written authorisation for non-compliance with the IGR due to your decision to withdraw from legal services regulation and consequently to apply for de-designation as an approved regulator of probate activities under the Legal Services Act 2007 (the Act). Our understanding is that you intend to effectively cease regulating authorised persons by 1 January 2021.

We have taken into account the particular circumstances which apply to ACCA, including what would be proportionate and in the public interest having regard to any risk to consumers, noting that you regulate a very small number of authorised persons for one reserved activity. We have also taken into account the fact that significant changes to ACCA's regulatory arrangements would likely be required to achieve full compliance with the IGR, when your intention is to exit the legal services market in 6 months' time and cease regulating authorised persons.

In view of these considerations, we can confirm that your request for the LSB's authorisation under Rule 16(1)(c) (Saving Provisions) is granted until 1 January 2021. The effect of this authorisation is that ACCA will not be required to comply in full with all provisions of the IGR during this period. This authorisation is granted on

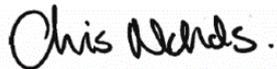
the basis of all the matters set out above. We consider that this time limited authorisation is proportionate and appropriate in the circumstances.

In granting this authorisation the LSB reminds ACCA that it must continue to comply with all other obligations as an approved regulator under the Act. Specifically, and in regard to the IGR, notwithstanding this authorisation, ACCA must meet the obligations set out in Rule 1: Overarching duty, and ensure that the exercise of its regulatory functions is not prejudiced by any representative functions, while it is an approved regulator.

This authorisation has effect from 24 July 2020 and will expire on 1 January 2021.

This authorisation together with certificates of compliance for all other approved regulators and regulatory bodies will be published on the LSB website on 28 July 2020.

Yours sincerely

A handwritten signature in black ink that reads "Chris Nichols." The signature is written in a cursive, slightly slanted style.

Chris Nichols

Director, Policy and Regulation