

**Rule change application cover sheet**

**Summary of proposed rule changes (or list proposed new, or revised rules which are the subject of this application)**

This application proposes to put in place the following new rules:

- CILEx Regulation-ACCA Handbook

This application proposes to change the following rules:

- Enforcement Rules (Appendix 2)
- Strategic Risk Committee Rules (Appendix 3)

In order to:

- provide a route for the regulation of ACCA-Probate Practitioners and ACCA-Probate firms following the decision of the ACCA to withdraw from legal regulation.
- create the new titles of CILEx-ACCA Probate Entity and CILEX Practitioner (ACCA-Probate) to distinguish from CILEx Authorised Entity, Approved Managers and CILEx Practitioners authorised for other reserved/regulated activities through the CILEX route.
- create a new 'CILEx Regulation-ACCA Handbook' based around the existing ACCA Legal Activities Regulations to clearly define those rules that apply to CILEx-ACCA Probate Entity and CILEx Practitioner (ACCA-Probate).
- amend the Enforcement Rules by adding a new definition for a CILEx-ACCA Probate Entity and extending the definition of a Relevant Person to include a CILEx-ACCA Probate Entity.
- correcting one drafting error in the Enforcement Rules.
- amend the Strategic Risk Committee Rules by adding CILEx Practitioner (ACCA-Probate) to the scope of Rules 2 and 3 to allow the committee to review decisions made by the office on the roles of a CILEx Practitioner in a CILEx-ACCA Probate Entity.

<b>Rule change application checklist</b>	<b>(tick)</b>
Contact details for individual submitting application	✓
Details of proposed alteration	✓
Tracked version of changes included	✓
Nature and effect of proposed alteration (explain existing arrangements, current arrangements and why proposed change is being made)	✓
Explain impact on the regulatory objectives	✓
Explain how the proposed changes align with Better Regulation principles	✓

Explain desired outcome of the proposed alteration and plans to monitor and assess whether it has been achieved (include timeframe)	✓
Does the proposed alteration affect areas regulated by other approved regulators? If so, have you consulted them?	No
Include intended implementation date of proposed alteration	✓
Full details of the consultation process (including responses and how you have addressed them)	✓
Accessibility checked	✓
Other relevant explanatory material	✓