

**LSB BOARD**  
**ACTION TRACKER**

**21-Jul-26**

*The action tracker will come to every Board meeting providing progress and updates on actions from previous meetings.*

|    | Meeting Date | Agenda Item  Title                                                     | Action                                                                                                                                                                                                                                                                                                                                                                                                                                           | Action Owner                                                             | Progress   Update                                                                                                                                                                                                                                                                                                                                                                                                                                  | Completed or Expected completion date                                                                  |
|----|--------------|------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|
| 1  | 27/11/2025   | ARAC update                                                            | The executive and MoJ to work together to create an approvals process for senior pay. To be overseen by ARAC and the Remuneration and Nomination Committee                                                                                                                                                                                                                                                                                       | Director, Corporate Services / Head, Finance, IT & Procurement / HR Lead | Discussions have taken place with senior colleagues at MoJ, linked to the Framework document discussions. MoJ have agreed to some reductions in process. This will be progressed during 2026.                                                                                                                                                                                                                                                      | Sep-26                                                                                                 |
| 2  | 27/11/2025   | Reflections wrap up and summary                                        | The executive to consider the frequency and structure of board meetings.                                                                                                                                                                                                                                                                                                                                                                         | CEO                                                                      | To be considered as part of Board Effectiveness Review, which is currently being scoped.                                                                                                                                                                                                                                                                                                                                                           | Oct-26                                                                                                 |
| 3  | 27/11/2025   | Board Apprentice reflections                                           | The Corporate Governance Lead and HR Lead would discuss possibility of LSB developing a board apprentice programme.                                                                                                                                                                                                                                                                                                                              | Corporate Governance Lead / HR Lead                                      | Due to limited capacity within the Governance and HR team it will not be possible to develop a board apprentice programme at this time. In future, we will reconsider this when capacity allows.                                                                                                                                                                                                                                                   | Completed                                                                                              |
| 4  | 24/02/2026   | Private Session - Approach to oversight regulation                     | 1. To review the new approach to oversight regulation after one year of implementation.<br>2. Board requested further clarification on the role of thematic reviews and that a summary would be developed for future consideration.<br>3. Board to be advised on diet of oversight activity for each review cycle.<br>4. Review of the use of the language "assurance statements" to avoid imply by default that assurance had been established. | Head, Performance and Oversight / Regulatory Policy Manager              | 1. This will take place alongside the wider review of the framework in 2027/8.<br>2. Once the implementation schedule has been finalised, we will share this with Board via an annex to the CEO Progress Report in July 2026.<br>3. We will build this into the implementation schedule/review cycle timetable.<br>4. We will ensure that different language is used when we proceed to implementation and brief regulators about the new process. | 1. By the end of 2027/28<br>2. July 2026<br>3. As per timescales in each review cycle.<br>4. June 2026 |
| 5  | 24/02/2026   | CEO Progress Report                                                    | A written update on the Post Office scandal to be shared with the Board shortly.                                                                                                                                                                                                                                                                                                                                                                 | General Counsel                                                          | This is in development.                                                                                                                                                                                                                                                                                                                                                                                                                            | Oct-26                                                                                                 |
| 6  | 24/02/2026   | SRA Regulatory Matters                                                 | 1. A lessons learned exercise will be undertaken in relation to the overall timelines of the review.                                                                                                                                                                                                                                                                                                                                             | General Counsel                                                          | 1. A lessons learned exercise will be started soon.                                                                                                                                                                                                                                                                                                                                                                                                | 1. Autumn 2026                                                                                         |
| 7  | 24/03/2026   | Board reflections on enforcement actions                               | 1. The Executive to develop a factual narrative of the Axiom Ince investigation.<br>2. The board to have further reflective discussions on enforcement actions once the new Chair is in post.                                                                                                                                                                                                                                                    | 1. General Counsel<br>2. Corporate Governance Lead                       | 1. A factual narrative is being developed<br>2. Agenda item to be added to a future board meeting agenda.                                                                                                                                                                                                                                                                                                                                          | 1. Summer 2026<br>2. Autumn 2026.                                                                      |
| 8  | 24/03/2026   | RNC Update and RNC Annual Report                                       | RNC to provide support and challenge to the Executive while the new People Plan is developed and implemented.                                                                                                                                                                                                                                                                                                                                    | Director, Corporate Services                                             | The People Plan has been shared with colleagues and with RNC and was discussed at RNC's meeting on the 6 July.                                                                                                                                                                                                                                                                                                                                     | Completed                                                                                              |
| 9  | 24/03/2026   | LSB Corporate Risk Register                                            | The Board to hold a discussion to identify key and emerging risks.                                                                                                                                                                                                                                                                                                                                                                               | Director, Corporate Services                                             | New risk register has been is on Agenda - Item 03. A discussion scheduled will be scheduled with the Board to identify key and emerging risks in Autumn 2026.                                                                                                                                                                                                                                                                                      | Autumn 2026                                                                                            |
| 10 | 06/09/2026   | CEO Progress Report                                                    | Share with the Board the SRA's data and analytics on the use of Legal Choices.                                                                                                                                                                                                                                                                                                                                                                   | Director, Policy, Strategy and Engagement and Head, Strategy & Research  | An email with an overview of Legal Choices, including data and analytics was sent to Board on the 2 June 2026.                                                                                                                                                                                                                                                                                                                                     | Completed                                                                                              |
| 11 | 06/09/2026   | Horizon scanning: practical application within the oversight framework | The Chief Executive would raise the approach through the CEO forum and with regulators                                                                                                                                                                                                                                                                                                                                                           | CEO                                                                      | The need for a collaborative approach to horizon scanning, including cross-sector risk and issue identification, was discussed at the CEO Forum.                                                                                                                                                                                                                                                                                                   | Completed                                                                                              |
| 12 | 06/09/2026   | Progress update on SRA matters                                         | LSB to speak directly with SRA independent reviewer to clarify expectations of the evaluation report around information needed for assurance.                                                                                                                                                                                                                                                                                                    | General Counsel / Head, Performance and Oversight                        | Briefing session held on 17 June 2026.                                                                                                                                                                                                                                                                                                                                                                                                             | Completed                                                                                              |
| 13 | 06/09/2026   | ARAC update                                                            | ARAC minutes, papers and a summary of the top risks would be shared with the Board for visibility and discussion after ARAC meetings                                                                                                                                                                                                                                                                                                             | Director, Corporate Services / Corporate Governance Lead                 | The pack for the ARAC meeting on the 2 June 2026 has been circulated to the Board.                                                                                                                                                                                                                                                                                                                                                                 | Completed                                                                                              |